

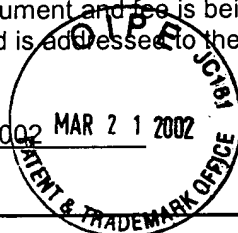
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I certify that this document and fee is being deposited with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: March 14, 2002



*Sehorat A. Mier*  
Signature of Person Mailing Correspondence

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

Applicant(s):	Mitchell, et al.	Docket No.:	40655.4600
Serial No.:	09/893,391	Group Art Unit:	2131
Filed:	June 28, 2001	Examiner:	TBA
Title:	SYSTEM AND METHOD FOR INTEGRATING PUBLIC AND PRIVATE DATA	Confirmation No.:	5303

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**Technology Center 2100**

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner For Patents  
Washington, D.C. 20231

Assistant Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R., §§1.97 and 1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08A. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

The items listed on Form PTO/SB/08A may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO/SB/08A that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
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2. ☐ Any copy of the items listed on the enclosed copy of Form PTO/SB/08A that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior ☐ Continuation, ☐ Divisional or ☐ Continuation-In-Part application under 37 C.F.R. §1.60, U.S. Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

3. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.

☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.

4. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below.

5. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period

specified in paragraph 3 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):

☐ A check in the amount of \$180.00 is enclosed in payment of the fee.

☐ Charge the fee to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

6. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below; and
- b. the attached petition requesting consideration of this Information Disclosure Statement; and
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 9 below.

7. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- a. ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;
- b. ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.
- c. The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 9 below.

8. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making

reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

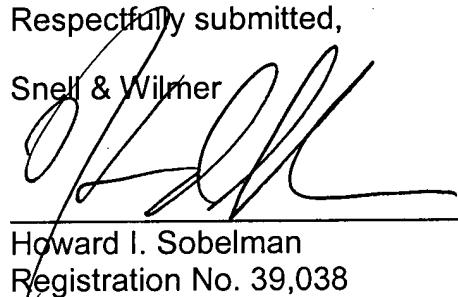
9. ☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(p).

☐ Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Snell & Wilmer



Dated: March 14, 2002

Howard I. Sobelman  
Registration No. 39,038

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number 09/893,391  
 Filing Date June 28, 2001  
 First Named Inventor Margaret C. Mitchell  
 Art Unit 2131  
 Examiner Name TBA  
 Attorney Docket Number 40655.4600

Sheet 1 of 2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US- 5,710,884		01-20-1008	Dedrick	
		US- 5,754,939		05-19-1998	Herz et al.	
		US- 5,761,662		06-02-1998	Dasan	
		US- 5,796,393		08-18-1998	MacNaughton et al.	
		US- 5,878,219		03-02-1999	Vance, Jr. et al.	
		US- 5,901,287		05-04-1999	Bull et al.	
		US- 5,913,214		06-15-1999	Madnick et al.	
		US- 5,933,827		08-03-1999	Cole et al.	
		US- 5,940,834		08-17-1999	Pinard et al.	
		US- 5,944,790		08-31-1999	Levy	
		US- 5,946,676		08-31-1999	Iggulden	
		US- 5,958,016		09-28-1999	Chang et al.	
		US- 5,983,227		11-09-1999	Nazem et al.	
		US- 5,995,943		11-30-1999	Bull et al.	
		US- 5,999,975		12-07-1999	Kittaka et al.	
		US- 6,014,638		01-11-2000	Burge et al.	
		US- 6,020,884		02-01-2000	MacNaughton et al.	
		US- 6,026,429		02-15-2000	Jones et al.	
		US- 6,026,433		02-15-2000	D'Arlach et al.	
		US- 6,029,182		02-22-2000	Nehab et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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**Complete if Known**

<b>Application Number</b>	09/893,391
<b>Filing Date</b>	June 28, 2001
<b>First Named Inventor</b>	Margaret C. Mitchell
Art Unit	2131
Examiner Name	TBA
Attorney Docket Number	40655.4600

(use as many sheets as necessary)

Sheet	2	of	2
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Attorney Docket Number	40655.4600
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
		US- 6,029,195		02-22-2000	Herz	
		US- 6,055,542		04-25-2000	Nielsen et al.	
		US- 6,078,928		06-20-2000	Schnase et al.	
		US- 6,085,229		07-04-2000	Newman et al.	
		US- 6,092,121		07-18-2000	Bennett et al.	
		US- 6,128,663		10-03-2000	Thomas	
		US- 6,138,142		10-24-2000	Linsk	
		US- 6,154,766		11-28-2000	Yost et al.	
		US- 6,161,112		12-12-2000	Cragun et al.	
		US- 6,167,441		12-26-2000	Himmel	
		US- 6,192,382	B1	02-20-2001	Lafer et al.	
		US- 6,195,651	B1	02-27-2001	Handel et al.	
		US- 6,209,007	B1	03-27-2001	Kelley et al.	
		US- 6,216,129	B1	04-10-2001	Eldering	
		US- 6,226,642	B1	05-01-2001	Beranek et al.	
		US- 6,236,990	B1	05-22-2001	Geller et al.	
		US- 6,236,991	B1	05-22-2001	Frauenhofer et al.	
		US- 6,249,790	B1	06-19-2001	Babcock, Jr.	
		US- 6,253,239	B1	06-26-2001	Shklar et al.	
		US-				

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1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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